

DTM R PGT/PTO 28 JUN 2004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Serge BASQUIN et al.

Serial Number: Not Yet Assigned Group Art Unit: Unassigned

Filed: June 28, 2004 Examiner: Unassigned

For: HETEROXYLAN FILM-FORMING COMPOSITION FOR
MAKING CAPSULES AND RESULTING CAPSULES

INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 CFR 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

June 28, 2004

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each non-U.S. patent document is attached. Copies of U.S. patent documents are not being submitted in accordance with the Commissioner's Notice, "Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications Filed After June 30, 2003" (July 11, 2003).

The listed documents marked with an asterisk were cited in the search report for the corresponding International Application. The remaining documents were cited in the search report for French

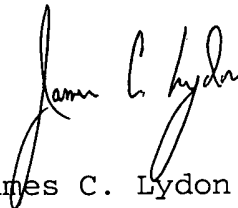
New U.S. Patent Appln.
INFORMATION DISCLOSURE STATEMENT

PATENT

application No. 02 13235. A copy of the PCT search report is attached.

No fee or certification is required in connection with this Information Disclosure Statement, since it is being submitted prior to the last of (1) issuance of a first official action on the merits and (2) expiration of the three month period following filing of the above-captioned application. Nevertheless, the Commissioner is authorized to charge our Deposit Account No. 50-1258 for any fee which is deemed by the Patent and Trademark Office to be required to effect consideration of this statement. Two copies of this authorization are attached.

Respectfully submitted,



James C. Lydon
Reg. No. 30,082

Atty. Case No. PLAS-022
100 Daingerfield Road
Suite 100
Alexandria, Virginia 22314
Telephone: (703) 838-0445
Facsimile: (703) 838-0447
Attachments:
Form PTO-1449;
14 Documents; and
PCT Search Report

DT11 PCT/PTO 28 JUN 2004

Sheet 1 of 2

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. PLAS-022	SERIAL NO. Not yet assigned
LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT Serge BASQUIN et al.	
		FILING DATE June 28, 2004	GROUP unassigned

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA	4,460,732	7/17/1984	Buscall et al.	524	460	
	AB	4,861,499	8/29/1989	Neff et al.	252	8.551	
	AC	5,089,578	2/18/1992	Valint et al.	526	240	
	AD	5,114,706	5/19/1992	Duvel	424	70	
	AE	5,932,2001	8/3/1999	de Labbey et al.	424	70.17	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION		
							YES	NO	PART.
	AF	*WO 98/41547	9/24/1998	W.I.P.O.					
	AG	WO 00/31154	6/2/2000	W.I.P.O.					
	AH	*WO 02/06411 A1	1/24/2002	W.I.P.O.					
	AI	EP 0 406 042 A2	1/2/1991	E.P.O.					
	AJ	*EP 0 506 650 A2	9/30/1992	E.P.O.					
	AK	*EP 0 612 789 A1	2/14/0994	E.P.O.					
	AL	EP 0 750 899 A2	1/2/1997	E.P.O.					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AM	Kobayashi et al., "Solubilization Properties of N-substituted Amphiphilic Acrylamide Copolymers," 73 <u>Journal of Applied Polymer Science</u> 2447-2453 (1999)
	AN	
	AO	

EXAMINER	DATE CONSIDERED
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	

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EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	BA						
	BB						
	BC						
	BD						
	BE						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION		
							YES	NO	PART.
	BF	EP 0 815 843 A1	1/7/1998	E.P.O.					
	BG	EP 0 823 250 A2	2/11/1998	E.P.O.					
	BH	*EP 1 029 539 A1	8/23/2000	E.P.O.					
	BI	EP 1 055 406 A2	11/29/2000	E.P.O.					
	BJ	JP 08-252447	10/1/1996	J.P.O.					Abstract
	BK	JP 19990211841	2/1/2001	J.P.O.					Abstract
	BL								

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	BM	
	BN	
	BO	

EXAMINER	DATE CONSIDERED
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